

GOVERNMENT OF ZAMBIA

STATUTORY INSTRUMENT NO. 103 OF 2015

The Anti-Terrorism Act, 2007
(Act No. 21 of 2007)

The Anti-Terrorism (Implementation of the United Nations Security Council Resolutions on the Prevention and Suppression of Terrorism) Regulations, 2015

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IN EXERCISE of the powers contained in section *fifty* of the Anti-Terrorism Act, 2007 the following Regulations are made:

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|---|----------------|
| 1. These Regulations may be cited as the Anti-Terrorism (Implementation of the United Nations Security Council Resolutions on the Prevention and Suppression of Terrorism) Regulations, 2015. | Title |
| 2. (1) In these Regulations, unless the context otherwise requires— | Interpretation |
| “applicable resolutions” means— | |
| (a) United Nations Security Council Resolution 1267 of 1999; | |
| (b) United Nations Security Council Resolution 1373 of 2001; | |
| (c) United Nations Security Council Resolution 1390 of 2002; | |
| (d) United Nations Security Council Resolution 1452 of 2002; | |
| (f) United Nations Security Council Resolution 1735 of 2006; | |
| (g) United Nations Security Council Resolution 1822 of 2008; | |
| (h) United Nations Security Council Resolution 1904 of 2009; | |
| (i) United Nations Security Council Resolution 1988 of 2011; | |
| (j) United Nations Security Council Resolution 1989 of 2011; and | |
| (k) any other United Nations Security Council Resolution that may be issued concerning the designation, asset freezing, arms embargo and travel ban in respect of a person, group or entity in relation to the application of measures for the combatting of terrorism; | |
| “Authorised officer” has the meaning assigned to it in the Act; | |
| “Central Bank” means the Bank of Zambia established under the Bank of Zambia Act; | |
| “Centre” has the meaning assigned to it in the Act; | |
| “Designated person” means an individual, group, entity, organisation or corporate body designated in accordance with the applicable resolutions and these Regulations; | |

“Designation or listing” means the addition of a person to the targeted sanctions list pursuant to the applicable resolutions or the Act;

“document” includes information recorded in any form and, in relation to information recorded otherwise than in legible form, references to its production include producing a copy of the information in legible form with or without the aid of anything else;

“economic resources” means assets of every kind, whether tangible or intangible, movable or immovable, which are not funds but can be used to obtain funds, goods or services;

“entity” means a firm, an unincorporated body of persons or any other legal arrangement;

“financial benefit” includes the discharge of a financial obligation for which the designated or listed person is wholly or partly liable;

“final designation” means a designation under Regulation 4, including a renewed designation;

“financial services” means services of a financial nature, including—

(a) insurance and pensions related services consisting of—

(i) direct insurance other than life assurance;

(ii) reinsurance and retrogression;

(iii) insurance intermediation, such as brokerage and agency;

(iv) services auxiliary to insurance, such as consultancy, actuarial, risk assessment and claim settlement services; or

(v) Pension Fund Management;

(b) banking and other financial services consisting of

(i) accepting deposits and other repayable funds;

(ii) lending, including consumer credit, mortgage credit, factoring, financing of commercial transactions and credit referencing;

- (iii) financial leasing;
- (iv) payment and money transmission services, including credit, charge and debit cards, travellers' cheques and bankers' drafts;
- (v) providing guarantees or commitments;
- (vi) financial trading;
- (vii) participating in issues of any kind of securities, including underwriting and placement as an agent, whether publicly or privately and providing service related to such issues;
- (viii) money brokering or foreign exchange purchase and sale;
- (ix) asset management, including case or portfolio management, all forms of collective investment management, custodial, depository and trust services;
- (x) settlement and clearing services for financial assets including securities, derivative products and other negotiable instruments;
- (xi) providing or transferring financial information, and financial data processing or related software by suppliers of other financial services; or
- (xii) providing advisory and other auxiliary financial services, including credit reference and analysis, investment and portfolio research and advice, advice on acquisitions and on corporate restructuring and strategy;

“financial trading” means trading for a person's, group of persons' or entity's own account or for the account of customers, whether on an investment exchange, in an overthe counter market or otherwise, in—

- (a) money market instruments, including cheques, bills and certificates of deposit;

- (b) foreign exchange;
- (c) derivative products, including futures and options;
- (d) exchange rate and interest rate instruments, including products such as swaps and forward rate agreements;
- (e) transferable securities;
- (f) other negotiable instruments and financial assets including bullion;

“freeze” means to prevent, or restrain specific property or funds from being used, transferred, transacted, converted, altered, concealed, moved or disposed of without affecting the ownership thereof;

“funds” has the meaning assigned to it in the Act;

“group” means a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing a terrorist act or serious offence;

“information” means a document or any communication of something and which is in an oral or any recorded form;

“national listing” means the listing of persons, groups or entities by the Minister as being engaged in or concerned with terrorism or the publication by the Centre of names of persons, groups or entities designated in accordance with applicable resolutions by the United Nations;

“other services” includes technical assistance, training or any other service rendered in connection with a terrorist act, terrorist financing or any contravention of the Act or these Regulations;

Act No. 46
of 2010

“privileged information” means information with respect to which a claim to legal professional privilege could be maintained in legal proceedings;

“reporting entity” has the meaning assigned to it in the Financial Intelligence Centre Act, 2010;

Act No. No.
46 of 2010

“sanctions” includes an asset freeze, travel ban and arms embargo;

“supervisory authority” has the meaning assigned to it in the Financial Intelligence Centre Act, 2010;

“terrorism” has the meaning assigned to it in the Act;

“terrorism financing” means an act by a person who by any means directly or indirectly, wilfully, provides or collects funds, or attempts to do so, intending that the funds should be used or knowing or reasonably suspecting that the funds are to be used in full or in part, whether actually used or not—

- (a) to carry out a terrorist act;
- (b) by an individual terrorist; or
- (c) by a terrorist entity;

“travel ban” means the prohibition of the travel of a designated or listed person, group or entity to, from or through the territory of the Republic;

(2) For the purposes of these Regulations—

“involved in terrorism” includes—

- (a) the commission, preparation or instigation of acts of terrorism;
- (b) the financing of terrorism acts or persons, groups or entities connected with terrorism;
- (c) conduct that facilitates the commission, preparation or instigation of acts of terrorism, or that is intended to do so;
- (d) conduct that gives support or assistance to persons who are known or believed by the person concerned to be involved in terrorism; or
- (e) the commission of any act of terrorism defined under the Act or any other written law.

3. These Regulations apply to—

Application

- (a) an entity designated under applicable resolutions;
- (b) a person or an entity suspected of being involved in terrorism;
- (c) reporting entities; and
- (d) supervisory authorities.

PART II
DESIGNATION AND LISTING

National
Listing

4. (1) The Minister shall nationally list a person, group or entity for the purpose of these Regulations and the applicable resolutions in Form I set out in the First Schedule if the Minister —

(a) reasonably believes that the person, group or entity—

- (i) is or has been involved in terrorism or terrorism financing;
- (ii) is owned or controlled directly or indirectly by a person who is or has been involved in terrorism or terrorism financing; or
- (iii) is acting on behalf of a person, group or entity that is involved or has been involved in terrorism or terrorism financing; and

(b) considers that it is necessary for purposes connected with protecting members of the public from terrorism that financial restrictions and other sanctions should be applied in relation to the person, group or entity.

(2) For the purposes of a listing under these Regulations and the applicable resolutions, it is immaterial whether the acts of terrorism in question are specific acts of terrorism or general acts of terrorism, whether actually or intended to be committed.

Designation
or listing
procedure

5. (1) The Permanent Mission of the Republic of Zambia to the United Nations shall, without delay, submit to the Ministry responsible for foreign affairs, all designations or listings made by the Security Council in accordance with the applicable resolutions and the provisions of the Act and these Regulations.

(2) The Minister shall, immediately upon receipt of a designation or listing under paragraph (1), submit the designation or listing to the Centre.

(3) The Centre shall, upon receipt of the designation or listing under paragraph (2) and without delay, circulate the designation or listing to—

- (a) the supervisory authorities;
- (b) the defence and security organs established under the Constitution of Zambia;
- (c) law enforcement agencies; and
- (d) the reporting entities.

(4) A supervisory authority shall, upon receipt of the designation or listing submitted to it under paragraph (3)—

- (a) circulate the designation or listing to the reporting entities under its supervision for their information and action;
- (b) where necessary, provide guidance to the reporting entities holding funds or other assets of a designated person, in relation to their obligations under these Regulations or any other law; and
- (c) ensure that the reporting entities comply with the requirements of these Regulations.

6. (1) Where the Centre lists a person, group or entity pursuant to Regulation 5 in accordance with the applicable resolution or the Act, the Centre must—

Notification
of
designation
or listing

- (a) give written notice of the listing to the listed person, group or entity; and
 - (b) publicise the listing as soon as is practicable in a national newspaper of general circulation.
- (2) The Centre must publicise the listing generally, except where
- (a) in the case of an individual, the Centre believes that the listed individual is under the age of eighteen years; or
 - (b) the Centre considers that disclosure of the listing should be restricted
 - (i) in the interests of national security;
 - (ii) for reasons connected with the prevention or detection of an offence; or
 - (iii) in the interest of justice.

(3) Where the conditions referred to under subregulation (2) exist, the Centre must inform the supervisory authorities and reporting entities that it considers necessary to give effect to these Regulations.

(4) Where the conditions preventing a general listing under subregulation (2), cease to exist the Centre must

- (a) give written notice of that fact to the listed person, group or entity; and
- (b) take steps to publicise the listing generally.

7. (1) A listing by the Minister expires at the end of the period of one year after the date on which it was made unless it is renewed.

Duration of
national
listing

(2) The Minister may renew a listing at any time before it expires, if the circumstances under Regulation 3 continue to exist.

(3) Regulation 4 applies where a listing is renewed.

(4) Where a final listing expires, the Centre must

(a) give written notice of that fact to the listed person, group or entity; and

(b) take reasonable steps to bring that fact to the attention of the supervisory authorities, reporting entities, defence and security organs established under the Constitution of Zambia and law enforcement agencies.

Variation or
revocation of
listing

8. (1) The Minister may, where necessary, vary or revoke a national listing in accordance with the applicable resolutions.

(2) Where listing is varied or revoked, the Centre on behalf of the Minister must

(a) give written notice of the variation or revocation to the listed person, group or entity; and

(b) take reasonable steps to bring the variation or revocation to the attention of the person, group or entity informed of the listing.

Interim
national
Listing

9. (1) The Minister may make an interim national listing of a person, group or entity for purposes of this Part if the Minister—

(a) reasonably suspects—

(i) that the person, group or entity is or has been involved in terrorist activity;

(ii) that the person, group or entity is owned or controlled as the case may be, directly or indirectly by a person, group or entity involved in terrorist activity; or

(b) considers that it is necessary that financial restrictions or other sanctions should be applied in relation to the person, group or entity for purposes connected with protecting a member of the public from terrorism.

(2) The power of the Minister to make final a listing applies to an interim listing as if the interim listing were a final listing.

(3) The Minister shall not make more than one interim listing of the same person, group or entity in relation to the same, or substantially the same, evidence.

Notification
of
interim
national
listing

10. (1) Where the Minister makes an interim national listing in respect of a person, group or entity, the Centre on behalf of the Minister must notify

(a) the supervisory authorities;

(b) relevant defence and security organs established under the Constitution of Zambia;

- (c) law enforcement agencies;
- (d) the reporting entities; and
- (e) the listed person, group or entity.

(2) The Centre must publicise the national listing generally in the *Gazette* and a newspaper of general circulation, except where—

- (a) in the case of an individual, the Centre believes that the listed person is under the age of eighteen years; or
- (b) the Centre considers that disclosure of the listing should be restricted
 - (i) in the interest of national security;
 - (ii) for reasons connected with the prevention or detection of an offence; or
 - (iii) in the interest of justice.

(3) Where the conditions referred to under subregulation (2) are satisfied, the Centre must inform such persons, groups or entities as it considers necessary, to give effect to these regulations.

(4) Where conditions preventing a general listing under subregulation (2), cease to exist the Centre must

- (a) give written notice of that fact to the listed person, group or entity; and
- (b) publicise the listing in the *Gazette* or a newspaper of general circulation.

11. (1) An interim listing expires—

- (a) at the end of the period of sixty days after the date on which it was made; or
- (b) on the making of a final listing in relation to the same person, group or entity;

whichever is earlier.

Duration of
interim
listing

(2) Where an interim listing expires, the Centre must—

- (a) give written notice of that fact to the listed person, group or entity; and
- (b) take reasonable steps to bring that fact to the attention of the supervisory authorities, reporting entities, relevant defence and security organs established under the Constitution of Zambia and such law enforcement agencies as it considers necessary.

(3) Where an interim listing expires on the making of a final listing in relation to the same person, group or entity—

(a) notice relating to an interim listing is deemed to be a notice relating to a final listing; and

(b) the steps under subregulation (2) are deemed to be the steps to be taken in relation to the publication of a final listing.

Variation or
revocation
of interim
listing

12. (1) The Minister may, where necessary, vary or revoke an interim listing.

(2) Where a listing is varied or revoked, the Centre shall on behalf of the Minister—

(a) give written notice of the variation or revocation to the listed person, group or entity; and

(b) take reasonable steps to bring the variation or revocation to the attention of the supervisory authorities, reporting entities, relevant defence and security organs established under the Constitution of Zambia and such law enforcement agencies as it considers necessary.

Confidential
information

13. (1) Where the Centre informs specific persons of a listing, the Centre may specify that the information contained in the listing must be treated as confidential.

(2) Subject to subregulation (3), a person who

(a) is provided with information that is to be treated as confidential in accordance with subregulation (1); or

(b) obtains information of a listing by any other means;

must not, disclose the information to an unauthorised person if the person knows, or reasonably suspects that the information is to be treated as confidential.

(4) A person who contravenes subregulation (2) commits an offence and is liable, upon conviction to a fine not exceeding two thousand five hundred penalty units or to imprisonment not exceeding two years, or to both.

(5) Subregulation (2) does not apply to a disclosure made by a person pursuant to lawful authority.

(6) For the purposes of this Regulation information is disclosed with lawful authority if the disclosure is

(a) made or authorised by the Minister;

(b) by, or with the consent of, the listed person;

(c) necessary to give effect to a requirement imposed under or by virtue of this Part or any other written law; or

(d) required, under an order of the High Court or tribunal under the Act or any other written law for the purposes of legal proceedings of any description.

(7) This Regulation does not prevent the disclosure of information that is already, or has previously been, available to the public from other sources.

(8) The High Court may on the application of a person or entity that is the subject of the information or the Centre, grant an injunction to prevent a breach of the prohibition in sub regulation (2).

PART II

RESTRICTIVE MEASURES AND SANCTIONS IN RELATION TO DESIGNATED OR LISTED PERSONS

14. (1) Subject to Regulations 16 and 19, a person must not deal with funds or economic resources owned, held or controlled by a designated or listed person, group or entity if the person knows, or reasonably suspects, that the person is dealing with those funds or economic resources.

Prohibition on dealing with funds and economic resources

(2) A person who contravenes subregulation (1) commits an offence and is liable, upon conviction, to penalties specified in the Act.

(3) In this Regulation, “deal with” means—

(a) in relation to funds—

- (i) use, alter, move, allow access to or transfer;
- (ii) treat the funds in a way that would result in any change in volume, amount, location, ownership, possession, character or destination; or
- (iii) make any other change that would enable use, including portfolio management; and

(b) in relation to economic resources, exchange or use the resources in exchange for funds, goods or services.

15. (1) Subject to Regulations 16 and 19, a person, group or entity must not make funds or financial services available, directly or indirectly, to a listed person, group or entity if that person, group or entity knows, or reasonably suspects, that the person, group or entity is making the funds or financial services so available for the purposes of terrorism.

Making funds or financial services available to a designated or listed person, group or entity prohibited

(2) A person, group or entity that contravenes subregulation (1) commits an offence and is liable, upon conviction, to penalties specified in the Act.

Prohibition
on making
funds or
financial
services
available for
the benefit
of listed
person

16. (1) A person or entity must not make funds or financial services available to another person, group or entity for the benefit of a listed person, group or entity if that person, group or entity knows, or reasonably suspects that the other person, group or entity is making the funds or financial services available to a listed person, group or entity for the purposes of terrorism.

(2) For the purposes of this Regulation, funds are made available for the benefit of a listed person, group or entity if that person, group or entity thereby obtains, or is able to obtain, a financial benefit.

(3) A person who contravenes subregulation (1) commits an offence and is liable, upon conviction, to penalties specified in the Act.

Making
economic
resources
available to
designated or
nationally
listed
person,
group or
entity
prohibited

17. (1) Subject to Regulation 19, a person must not make economic resources available directly or indirectly to a designated or nationally listed person, group or entity if that person or entity knows, or reasonably suspects

(a) that the person is making the economic resources so available to the listed person, group or entity; and

(b) that the designated or nationally listed person, group or entity would be likely to exchange the economic resources, or use them in exchange, for funds, goods, services or arms.

(2) A person who contravenes the subregulation (1) commits an offence and is liable, upon conviction, to penalties specified in the Act.

Prohibition
on making
economic
resources
available for
benefit of
designated or
listed
person,
group or
entity

18. (1) Subject to Regulation 19, a person, group or entity must not make economic resources available to a person or entity for the benefit of a listed person, group or entity.

(2) For the purposes of subregulation (1) economic resources are made available for the benefit of a designated or listed person, group or entity if the designated or listed person, group or entity thereby obtains, or is able to obtain, a financial benefit.

(3) A person who contravenes subregulation (1) commits an offence and is liable, upon conviction, to penalties specified in the Act.

19. (1) A road, water or air transportation provider or any transportation agent operating within the Republic or whose transportation carries persons or goods within or outside the Republic shall not knowingly permit or facilitate the transportation of any designated or listed person or restricted goods specified by the Centre.

Prohibition
of travel by
designated or
listed
persons,
groups or
entities

(2) A person shall not knowingly provide or facilitate the transportation of designated or listed persons or restricted goods specified by the Centre by road, water or air transportation within or outside the Republic.

(3) A person who contravenes this Regulation commits an offence.

20. (1) A person must not facilitate the acquisition, transportation or other transactions, relating to arms within or through the Republic on behalf of a listed person, group or entity or for the benefit of a listed person, group or entity.

Arms
embargo
against
designated or
listed
persons,
group or
entities

(2) A person who knows or reasonably suspects that a transaction under subregulation (1) is about to take place must notify an authorised officer immediately the person becomes aware of that transaction or as soon as practicable after the person becomes aware of the transaction.

(3) An authorised officer who receives information under subregulation (2) must take immediate steps to prevent the performance or conclusion of the transaction.

(4) A person who facilitates a transaction knowing or reasonably suspecting that the transaction contravenes subregulation (1) commits an offence.

21. (1) Despite Regulations 11 to 13 a reporting entity may credit a frozen account with—

Exceptions

(a) interest or other earnings due on the account; or

(b) payments due under contracts, agreements or obligations that were concluded or arose before the account was frozen.

(2) Despite Regulations 12 and 13 a reporting entity may credit a frozen account where it receives funds transferred to the account.

(3) Despite Regulation 13, a payment may be made which

(a) is a benefit under or by virtue of a written law relating to social security; and

(b) is to a person or entity that is not a designated or listed person, group or entity whether or not the payment is made in respect of a designated or listed person.

(4) A reporting entity shall inform the Centre without delay if it credits a frozen account in accordance with subregulation (1) or (2).

(5) In this Regulation “frozen account” means an account with a reporting entity which is held or controlled (directly or indirectly) by a designated or listed person, group or entity.

Licences

22. (1) Regulations 11 and 15 do not apply to anything done under the authority of a licence granted by the Centre.

(2) A licence shall specify the acts authorised by it and may be—

- (a) general or granted to a category of persons or entities or to a particular person;
- (b) subject to conditions; and
- (c) of indefinite duration or subject to an expiry date.

(3) The Centre may vary or revoke a licence at any time.

(4) On the grant, variation or revocation of a licence, the Centre shall—

- (a) in the case of a licence granted to a particular person, give written notice of the grant, variation or revocation to that person;
- (b) in the case of a general licence or a licence granted to a category of persons or entity, take such steps as the Centre considers appropriate to publicise the grant, variation or revocation of the licence.

(5) A person commits an offence if that person, for the purpose of obtaining a licence, knowingly or recklessly—

- (a) provides information that is false in a material respect; or
- (b) provides or produces a document that is not what it purports to be.

(6) A person who purports to act under the authority of a licence but fails to comply with a condition of the licence commits an offence.

Circumventing
prohibitions
etc

23. A person, group or entity that intentionally participates in activities knowing that the object or effect of those activities is to directly or indirectly circumvent or enable or formulate the contravention of provisions of this Part, commits an offence.

PART IV
INFORMATION

24. (1) Subject to the Financial Intelligence Centre Act, 2010 a reporting entity must notify the Centre as soon as practicable if—

Reporting obligations of relevant institutions Act No. 46 of 2010

(a) it knows, or reasonably suspects, that a person, group or entity—

(i) is a listed person, group or entity; or

(ii) has committed an offence under Part III; and

(b) the information or other matter on which the knowledge or suspicion under paragraph (a) is based came to the institution in the course of carrying on its business.

(2) Where a reporting entity informs the Centre under subregulation (1), the reporting entity shall state—

(a) the information or other matter on which the knowledge or suspicion is based; and

(b) any information it holds about the person, group or entity by which the person, group or entity can be identified.

(3) If a reporting entity informs the Centre under subregulation (1) that it knows, or has reasonable cause to suspect, that a person, group or entity is a designated or listed person, group or entity and that the person, group or entity is a customer of the reporting entity, the reporting entity shall also state the nature and amount or quantity of any funds or economic resources held by it for the customer at the time when it first had the knowledge or suspicion.

(4) A reporting entity that fails to comply with this Regulation commits an offence.

25. (1) Where the Centre believes that information is necessary for the purpose of monitoring compliance with this Part, the Centre may directly or through the supervisory authority request a designated or listed person, group or entity to provide information—

Power to request information

(a) concerning—

(i) funds or economic resources owned, held or controlled by or on behalf of the designated or listed person, group or entity; or

(ii) any disposal of such funds or economic resources; or

(b) that the Centre may reasonably require about expenditure

(i) by or on behalf of the designated or listed person, group or entity; or

(ii) for the benefit of the designated or listed person, or group or entity.

(2) The Centre may request a person acting under a licence granted under Regulation 21 to provide information concerning

(a) funds or economic resources dealt with under the licence;
or

(b) funds, economic resources or financial services made available under the licence.

(3) The Centre may request any person in or resident in the Republic to provide such information as the Centre may reasonably require for the purpose of

(a) establishing under this Part—

(i) the nature and amount or quantity of any funds or economic resources owned, held or controlled by or on behalf of a designated or listed person, group or entity;

(ii) the nature and amount or quantity of any funds, economic resources or financial services made available directly or indirectly to, or for the benefit of, a designated or listed person, group or entity; or

(iii) the nature of any financial transactions entered into by a designated or listed person group or entity;

(b) monitoring compliance with or detecting evasion of this Part; or

(c) obtaining evidence of the commission of an offence under this Part.

(4) The Centre may issue guidelines specifying the manner in which, and the period within which, information is to be provided pursuant to a request.

(5) Where no period is specified for provision of information, the information which has been requested shall be provided as soon as practicable.

(6) A request may include a continuing obligation to keep the Centre informed as circumstances change, or on such regular basis as the Centre specifies.

(7) Information requested under this Regulation may relate to any period of time during

which a person, group or entity is, or was, a designated or listed person, group or entity.

(8) Information requested under this Regulation may relate to any period of time before a person, group or entity became a designated or listed person, group or entity.

26. (1) A request under Regulation 25 may include a request to produce specified documents or documents of a specified description.

Production
of
documents

(2) Where the Centre requests that documents be produced, the centre may—

- (a) take copies of or extracts from any document so produced;
- (b) request any person or entity producing a document to give an explanation of it; and
- (c) where the person or entity under paragraph (b) is a body corporate, partnership or unincorporated body other than a partnership, request any person to give such an explanation who is
 - (i) in the case of a partnership, a present or past partner or employee of the partnership; and
 - (ii) in any other case, a present or past director or manager or employee of the body concerned.

(3) Where the Centre requests a designated or listed person, group or entity or a person acting under a licence granted under Regulation 21 to produce documents, that person shall—

- (a) take reasonable steps to obtain the documents; and
- (b) keep the documents under the person's possession or control, except for the purpose of providing them to the Centre or as the Centre may otherwise permit.

27. (1) A person, group or entity shall not—

Failure to
comply with
request for
information

- (a) without reasonable excuse refuse or fail within the time and in the manner specified, or if no time has been specified, as soon as practicable to comply with any request made under these Regulations;
- (b) knowingly or recklessly give any information, or produce any document, which is false in a material particular in response to such a request;
- (c) with intent to evade the provisions of these Regulations destroy, mutilate, deface, conceal or remove any document; or
- (d) otherwise intentionally obstruct the Centre or a supervisory authority in the exercise of their powers under this law.

(2) A person, group or entity that contravenes subregulation (1) commits an offence.

(3) The Centre, upon application to the High Court and satisfying the Court that a person, group or entity has failed without reasonable excuse to comply in whole or in part with any obligations in the request, shall obtain an order against that person, group or entity or any of their officers or employees where applicable on such terms as the court considers necessary to enforce compliance with such obligations.

(4) Where a person, group or entity is convicted of an offence under this Regulation, the High Court may order that person, group or entity within such period as may be specified in the order, to comply with the request.

Disclosure
of
information
by Centre

28. (1) The Centre may disclose any information obtained by it in exercise of its powers under this Part, including any document so obtained and any copy or extract made of any document so obtained to—

- (a) an authorised officer;
- (b) a person holding or acting in any office under or in the service of the Republic;
- (c) the Legal Aid Board;
- (d) the Financial Intelligence Centre;
- (e) any organ of the United Nations or any person in the service of the United Nations, the African Union, the Council of the European Union, the European Commission or the Government of any country for the purpose of giving assistance or cooperation, pursuant to the relevant Security Council resolutions; or
- (f) a third party, with the consent of a person who, in their own right, is entitled to the information or to possession of the document, copy or extract.

(2) The Centre shall take such steps as it considers appropriate to cooperate with any investigation in the Republic or elsewhere relating to the funds, economic resources or financial transactions of a designated or listed person, group or entity.

Application
of Part

29. (1) Nothing done under this Part is treated as a breach of any restriction imposed by a written law or otherwise.

(2) Nothing in this Part authorises a disclosure that—

Act No. 21
of 2009

- (a) contravenes the provisions of the Electronic Communications and Transactions Act, 2009, relating to Data Protection;

(b) is prohibited under the Financial Intelligence Centre Act, 2010; or

(c) is prohibited by the State Security Act.

(3) Nothing in this Part is construed as requiring a person who has acted as counsel for any person to disclose any privileged information in their possession in that capacity.

(4) This Part does not limit the circumstances in which information may be lawfully disclosed apart from this Part.

(5) This Part does not limit the powers of the

Centre to impose conditions in connection with the discharge of the Centre's functions under Regulation 19.

PART V

SUPERVISION OF EXERCISE OF POWERS

30. (1) This Part applies to any decision of the Minister—

(a) to make or vary an interim or final designation or listing of a person, group or entity;

(b) to renew a final designation or listing of a person, group or entity; or

(c) not to vary or revoke an interim or final designation or listing of a person, group or entity.

(2) The designated or listed person concerned may appeal against any such decision to the High Court.

(3) On appeal, the High Court may make such order as it considers appropriate.

(4) The making of an appeal under this section does not suspend the effect of or stay the decision to which the appeal relates.

31. (1) Subject to Regulation 30, a person affected by a designation, listing or other decision may apply to the High Court for the designation, listing or decision to be set aside.

(2) In determining whether the designation, listing or decision should be set aside, the High Court shall apply the principles applicable to an application for Judicial Review.

32. (1) As soon as practicable, but not later than ninety days after the end of the financial year, the Centre shall prepare and submit to the Minister a report about the exercise of the powers conferred on it by this Part and its activities during that financial year.

(2) The Minister shall not later than seven days after the first sitting of the National Assembly next after receipt of the report referred to in subregulation (1) lay the report before the National Assembly.

PART VI
GENERAL PROVISIONS

General penalty	<p>33. A person who is convicted of an offence under these Regulations for which no penalty is expressly provided, is liable, upon conviction, to a fine not exceeding two thousand five hundred penalty units, or to imprisonment for a term not exceeding two years, or to both.</p>
Offences by body corporate or an unincorporate body	<p>34. Where an offence under these Regulations is committed by a body corporate or an unincorporate body, every director or manager of the corporate or unincorporate body is liable, upon conviction, as if the director or manager had personally committed the offence, unless the director or manager proves to the satisfaction of the High Court that the act constituting the offence was done without the knowledge, consent or connivance of the director or manager or that the director or manager took reasonable steps to prevent the commission of the offence.</p>
Service of notices	<p>35. (1) This Regulation applies in relation to any notice to be given to a person by the Centre under this Part.</p> <p>(2) A notice under subregulation (1) may be given —</p> <ul style="list-style-type: none">(a) to the person named in the notice;(b) by posting it to the person's last known address; or(c) by electronic mail;(d) where the person is a body corporate, partnership or unincorporated body other than a partnership, by posting it to the registered or principal office of the body or partnership concerned;(e) through substituted service.
Delisting requests	<p>36. (1) The Centre is authorised to make delisting requests on behalf of the Republic in accordance with the delisting procedures under the applicable resolutions in Form II set out in the First Schedule.</p> <p>(2) Despite subregulation (1), a designated or listed person, entity or group may make a request for delisting.</p> <p>(3) A request made by a designated or listed person, entity or group shall be submitted to the Office of the Ombudsman through the address specified under Part A of the Second Schedule or through such other address as may be specified by the Sanctions Committee.</p> <p>(4) A request for delisting under paragraph (1) may be made on behalf of the designated or listed person, entity or group by a legal representative or estate.</p>

37. (1) The provisions of the Forfeiture of Proceeds of Crime Act, 2010, shall apply in relation to the seizure and forfeiture of any proceeds or property in connection with a designated or nationally listed person, group or entity.

Forfeiture of
proceeds or
property
Act No. 19
of 2010

(2) A person who tampers with any property that is seized or forfeited under these Regulations commits an offence and is liable, upon conviction, to imprisonment for a period not exceeding two years.

38. (1) This Part binds the Republic.

Application
to Republic

(2) A contravention of this Part by the Republic does not make the Republic criminally liable.

(3) The High Court may, on the application of a person appearing to the Court to have an interest, declare unlawful any act or omission of the Republic that constitutes a contravention of a provision of this Part.

SCHEDULE
(Regulations 4 and 36)

PRESCRIBED FORMS



Form I
(Regulation 4)

REPUBLIC OF ZAMBIA

The Anti-Terrorism Act, 2007
(Act No. 21 of 2007)

The Anti-Terrorism (Implementation of the United Nations Security Council Resolutions on
the Prevention and Suppression of Terrorism) Regulations, 2015

PART A – LISTING OF INDIVIDUALS

CONSOLIDATED LIST: STANDARD FORM FOR MEMBER STATE
SUBMISSIONS TO THE COMMITTEE FOR LISTING OF INDIVIDUALS

For additional information on listing and explanatory notes on completing this form see:
www.un.org/sc/committees/1267/listing.shtml

I.A - IDENTIFYING INFORMATION THAT WILL APPEAR ON THE CONSOLIDATED LIST													
Member States are requested to provide the Committee with as much relevant information as possible, in particular sufficient identifying information to allow for the accurate and positive identification of the individual concerned.													
Full name (in Latin alphabet, as to appear on the List)													
Name components (Provide 1–8 components. On the columns to the left please describe each part of the name as first, middle or family name, et cetera.)		Describe name component											
		Name of:							Other, please describe in writing				
		First name	Middle name	Last name	Family name	Geographical ref.	Religious title	Father		Grandfather	Great-grandfather	Mother	Tribes
1.													
2.													
3.													
4.													
5.													
6.													
7.													
8.													
Spelling variations or other transliterations if used in official documents													
Original script (as to appear on the List)		Indicate script:		Arabic <input type="checkbox"/> Chinese <input type="checkbox"/>									
		Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/>		Other <input type="checkbox"/> Which:									
Other script(s) (if used officially)		Indicate script:		Arabic <input type="checkbox"/> Chinese <input type="checkbox"/>									
		Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/>		Other <input type="checkbox"/> Which:									
Date of birth		(DD/MM/YYYY) Additional information				Day: Month: Year:							
Place of birth (city/area/country)													
Alternative dates or places of birth (please explain)													
Male/Female													
Nationality or citizenship(s)		Current											
		Previous (add dates)											
State(s) of residence		Current											
		Previous (add dates)											
Passport(s), other travel documents and national identification documents		Document type (see explanatory notes)				Passport <input type="checkbox"/> Driver license <input type="checkbox"/> National identity card <input type="checkbox"/> Birth certificate <input type="checkbox"/> Social security card <input type="checkbox"/> Other <input type="checkbox"/> Which:							
		Document number											
		Name issued to (in original script)				Name: Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:							
		Issued by (authority, city, country)											
		Issue date				Day: Month: Year:							
		Expiry date				Day: Month: Year:							
		Additional information											

To add more documents, make a copy of and fill in annex A. Please provide a copy of the document if possible.

**Aliases/
Also-Known-
As**

If the individual has aliases/also-known-as names, both current and formerly used, please fill in annex B. Provide this information only if the data is sufficient to allow for the accurate and positive identification of the individual concerned; otherwise include this information in section I.B of this form, in fields designated for nicknames and other pseudonyms not sufficient for accurate and positive identification. For definitions please see explanatory notes.

I.B - ADDITIONAL IDENTIFYING INFORMATION THAT MAY ALSO APPEAR ON THE CONSOLIDATED LIST

Member States are requested to provide the following information in order to facilitate the identification of the individual concerned.

Nicknames, diminutives and other pseudonyms (Not legal names, Not sufficient for positive identification.)	Type	Nom de guerre <input type="checkbox"/> Nickname <input type="checkbox"/> Adopted name <input type="checkbox"/> Other <input type="checkbox"/> which:
	Details (in Latin script)	
	Additional information about this pseudonym	

To add more pseudonyms fill in an additional sheet (annex C)

Title(s)		
Employment / Occupation (please provide dates and nature of employment, in particular regarding positions held in listed entities)		
Marital status		
Address	Primary address	
	Other addresses (current/previous)	
Location (if different from address)	Current	
	Alternative	
Status	Wanted / Subject to arrest warrant	Yes <input type="checkbox"/> No <input type="checkbox"/> ...Not Known <input type="checkbox"/> If yes, please explain:
	Detained (please provide date of likely release)	Yes <input type="checkbox"/> No <input type="checkbox"/> ...Not Known <input type="checkbox"/> If yes, please explain:
	Convicted / Sentenced (please provide details of sentence)	Yes <input type="checkbox"/> No <input type="checkbox"/> ...Not Known <input type="checkbox"/> If yes, please explain:
	Others (please see explanatory notes)	Yes <input type="checkbox"/> No <input type="checkbox"/> ...Not Known <input type="checkbox"/> If yes, please explain:
Relevant INTERPOL Notices		Yes <input type="checkbox"/> No <input type="checkbox"/> ...Not Known <input type="checkbox"/> If yes, please explain:
Other supplementary information		

Names of parents	Father's name	
	Mother's name	

Residency permits or visas of individual, or similar official documents	Document type	Residency permit <input type="checkbox"/> Work permit <input type="checkbox"/> Visa <input type="checkbox"/> Alien registration card <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Document number	
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional info	
Other identity documents	Document type	
	Document number	
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional info	
Official identity number	Type	Social security number <input type="checkbox"/> Alien registration number <input type="checkbox"/> Customer ID number <input type="checkbox"/> National ID number Employee number Tax ID Other <input type="checkbox"/> which:
	Number	
	Issued by (authority, city, country)	
	Other details	
	Other numbers (indicate type, number and issuer as above)	

To add more documents, make a copy of and fill in annex A. Please provide a copy of the document if possible.

I.C-PHYSICAL DESCRIPTION (these details may be used for an INTERPOL-UNSC Special Notice)			
Height(cm)		Eye color	
Weight(kg)		Hair color	
Build		Complexion	
Tribal / ethnic background			
Photograph, sketch, computer image attached?		Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, type(s):	
Other biometric identifiers attached? For example finger prints, DNA code, iris scan or digital facial image		Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, type(s):	
Distinguishing marks and other physical characteristics			
Languages spoken			
Additional physical characteristics			
I.D-OTHER IDENTIFYING INFORMATION NOT SPECIFIED ABOVE			

II. BASIS FOR LISTING

Member States are requested to indicate in one or more of the fields below the nature of the association between the individual in scribed in section I.A of his form and Al-Qaida, Usama bin Laden and/or the Taliban asset out in paragraphs 2 and 3 of resolution 1617 (2005) and subsequent resolutions. Full explanations and details of the nature of this association should be given in Part III of this form (Statement of Case). Please include the permanent reference number(s) of those names which the individual associated with that already appear on the Consolidated List. In the event of the designation of this individual by the Committee, the information provided will be used for the development of the narrative summary of reasons for listing to be published on the Committee's website in accordance with paragraph 14 of Security Council resolution 1904 (2009).

(a) participating in the financing¹, planning, facilitating, preparing, or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of Al-Qaida (AQ), Usama bin Laden (UBL), or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

- Name(s) and permanent reference number(s) on Consolidated List (if applicable):

(b) supplying, selling or transferring arms and related materiel to AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

- Name(s) and permanent reference number(s) on Consolidated List (if applicable):

(c) recruiting for AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

- Name(s) and permanent reference number(s) on Consolidated List (if applicable):

(d) otherwise supporting acts or activities of AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

- Name and permanent reference number(s) on Consolidated List (if applicable):

(e) other acts and activity indicating association with AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

- Name and permanent reference number(s) on Consolidated List (if applicable):
- Briefly state the nature of the association:

(f) entity owned or controlled, directly or indirectly, by, or otherwise supporting, an individual or entity on the Consolidated List.²

- Name(s) and permanent reference number(s) on Consolidated List (if applicable):

¹The Security Council has noted that such means of financing or support include but are not limited to the use of proceeds derived from illicit cultivation, production and trafficking of narcotic drugs originating particularly in Afghanistan, and their precursors (resolution 1904 (2009), para. 9).

III. STATEMENT OF CASE

The Statement of Case should provide as much detail as possible on the basis(es) for listing, including: (i) specific information supporting a determination that the individual meets the criteria above; (ii) the nature of the information, for example, intelligence, law enforcement, judicial, media, and admissions by subject; and (iii) additional information or documents provided with the submission. States should include details of any connection between the individual proposed for listing and any currently listed individual or entity.³

In accordance with paragraph 11 of resolution 1904 (2009), the whole statement of case shall be releasable, upon request, except for the parts a Member State identifies as being confidential to the Committee, and may be used to develop the narrative summary of reasons for listing described in paragraph 14 of resolution 1904 (2009).

III.A STATEMENT OF CASE (RELEASABLE UPON REQUEST)

III.B PART OF STATEMENT OF CASE IDENTIFIED AS BEING CONFIDENTIAL TO THE COMMITTEE

IV. IDENTITY OF DESIGNATING STATE

In accordance with paragraph 12 of resolution 1904 (2009) Member States proposing a new designation are encouraged to specify whether the Committee may make known, upon request from a Member State, the Member State's status as a designating State.

Yes

No

V. INTERPOL COOPERATION

The Security Council stressed in its resolution 1699 (2006) that its sanctions measures are often implemented under national law, including criminal law where applicable, and that enhanced cooperation between the United Nations and INTERPOL would enhance States' enforcement of those laws. In the same resolution, the Security Council encouraged Member States to use the tools offered by INTERPOL to reinforce the implementation of mandatory measures adopted by the Security Council, particularly the freezing of assets, travel bans, and arms embargoes. In this connection, the Committee regularly requests INTERPOL to issue INTERPOL-United Nations Security Council Special Notices to alert national law enforcement authorities in INTERPOL member countries that designated individuals and entities are subject to Security Council sanctions.

INTERPOL may for implementation purposes wish to contact the relevant authorities in your country, with a view to obtaining additional information on the individual(s)/entity(ies) proposed for designation herewith. For this purpose, please indicate below if the Committee may inform INTERPOL, upon INTERPOL's request, that your country is a **designating State** of the above-mentioned individual(s) (INTERPOL would then contact your country's permanent mission to the United Nations in New York with the relevant inquiries).

Yes

No

Comments:

In addition, please indicate below if the Committee may convey to INTERPOL, upon INTERPOL's request, the details of the point of contact below within your Government (INTERPOL may then contact directly the contact point below with the relevant inquiries).

Yes

No

Comments:

VI. POINT OF CONTACT

The individual(s) below may serve as a point-of-contact for further questions on this submission.

(THIS INFORMATION SHALL REMAIN CONFIDENTIAL)

Name:

Position/Title:

Contact details:

Office:

Address:

Telephone number:

Fax number:

E-mail address:

ANNEX A – Additional identification documents

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Passport(s), other travel documents and national identification documents	Document type (see explanatory notes)	Passport <input type="checkbox"/> Driver license <input type="checkbox"/> National identity card <input type="checkbox"/> Social security card <input type="checkbox"/> Birth certificate <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Document number	
	Name issued to (in original script)	Name: Indicate script: Arabic Chinese Dari Pashtu Russian Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional information	

Passport(s), other travel Documents and national identification documents	Document type (see explanatory notes)	Passport <input type="checkbox"/> Driver license <input type="checkbox"/> National identity card <input type="checkbox"/> Social security card <input type="checkbox"/> Birth certificate <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Document number	
	Name issued to (in original script)	Name: Indicate script: Arabic Chinese Dari Pashtu Russian Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional information	

Residency permits or visas of individual, or other identity documents	Document type	Residency permit <input type="checkbox"/> Work permit <input type="checkbox"/> Visa <input type="checkbox"/> Alien registration card <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Document number	
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional info	

Other identity documents	Document type	
	Document number	
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional info	

Official identity number	Type	Social security number <input type="checkbox"/> Alien registration number <input type="checkbox"/> Customer ID number <input type="checkbox"/> National ID number Employee number Tax ID Other <input type="checkbox"/> which:
	Number	
	Issued by (authority, city, country)	
	Other details	

Other numbers (indicate type, number and is user as above)	
--	--

ANNEX B- Aliases and Also -Known-As

Include only if data is sufficient to allow for the accurate and positive identification of the individual concerned, otherwise include as nickname, diminutive or other pseudonym in section I.B. of the standard form. Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Full name (in Latin alphabet, as to appear on the List)		Describe name component														
Name components (Provide 1–8 components. On the columns to the left, please describe each part of the name as first, middle or family name, et cetera.)		First name	Middle name	Last name	Family name	Geographical ref.	Religious title	Name of								
								Father	Grandfather	Great-grandfather	Mother	Tribes				
1.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other, please describe in writing	
2.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
4.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
5.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
6.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
7.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
8.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Spelling variations or other transliterations if used in official documents																
Original script (as to appear on the List)	Indicate script:	Arabic	Chinese													
	Dari <input type="checkbox"/>	Pashtu	Russian	Urdu												
	Other <input type="checkbox"/>	Which:														
Other script(s) (if used officially)	Indicate script:	Arabic	Chinese													
	Dari <input type="checkbox"/>	Pashtu	Russian	Urdu												
	Other <input type="checkbox"/>	Which:														
Date of birth	(DD/MM/YYYY) Additional information	Day:		Month:		Year:										
Place of birth (city/area/country)																
Alternative dates or places of birth (please explain)																
Nationality or citizenship(s)	Current															
	Previous (add dates)															
State(s) of residence	Current															
	Previous (add dates)															
Any additional information (background on alias)																

ANNEX C – ADDITIONAL NICKNAMES, DIMINUTIVES OR PSEUDONYMS

Include only if data is **not** sufficient for accurate and positive identification of the individual concerned

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Nicknames, diminutives and other pseudonyms <small>(Not legal names, not sufficient for positive identification)</small>	Type	Nomdeguerre Nickname Adopted name Other Which:
	Details(in Latin script)	
	Additional information about this pseudo nym	
Nicknames, diminutives and other pseudonyms <small>(Not legal names, not sufficient for positive identification)</small>	Type	Nomdeguerre Nickname Adopted name Other Which:
	Details(in Latin script)	
	Additional information about this pseudo nym	
Nicknames, diminutives and other pseudonyms <small>(Not legal names, not sufficient for positive identification)</small>	Type	Nomdeguerre Nickname Adopted name Other Which:
	Details(in Latin script)	
	Additional information about this pseudo nym	
Nicknames, diminutives and other pseudonyms <small>(Not legal names, not sufficient for positive identification)</small>	Type	Nomdeguerre Nickname Adopted name Other Which:
	Details(in Latin script)	
	Additional information about this pseudo nym	
Nicknames, diminutives and other pseudonyms <small>(Not legal names, not sufficient for positive identification)</small>	Type	Nomdeguerre Nickname Adopted name Other Which:
	Details(in Latin script)	
	Additional information about this pseudo nym	
Nicknames, diminutives and other pseudonyms <small>(Not legal names, not sufficient for positive identification)</small>	Type	Nomdeguerre Nickname Adopted name Other Which:
	Details(in Latin script)	
	Additional information about this pseudo nym	

PART B – LISTING OF ENTITIES

CONSOLIDATED LIST: STANDARD FORM FOR MEMBER STATE SUBMISSIONS TO THE COMMITTEE FOR LISTING OF ENTITIES

For additional information on listing and explanatory notes on completing this form see:

www.un.org/sc/committees/1267/listing.shtml

1A - IDENTIFYING INFORMATION THAT WILL APPEAR ON THE CONSOLIDATED LIST

Member States are requested to provide the Committee with as much relevant information as possible, in particular sufficient identifying information to allow for the accurate and positive identification of the entity concerned.

Full Name (in Latin alphabet, as to appear on the List)	
Spelling variations or other transliterations if used in official documents	
Original script (as to appear on the List) Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:	
Other script(s) (If used officially) Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:	
Short name/acronym(s)	

Alias, also-known-as, formerly-known-as	Details (spelling in Latin)	
	Short Name/Acronym(s)	
	Original script Indicate script	Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Type	Previous legal name <input type="checkbox"/> Previous registered name <input type="checkbox"/> Also-known-as <input type="checkbox"/> Formerly-known-as <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Additional information (dates)	

To add more aliases / AKAs / FKAs, fill in an additional sheet (annex A)

Registration and other identification numbers	Number	
	Type	Business registration number <input type="checkbox"/> Tax identification number <input type="checkbox"/> Employer number <input type="checkbox"/> Social security number <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Issuing authority	
	Other numbers (indicate details as above)	
Registered Address	Current	
	Previous, if any	
Date of establishment (DD/MM/YYYY) Additional information		Day Month Year
Place of establishment		
State(s) of main activity		
Address(es) in state(s) of main activity		
Please state any specific limitations relevant to the sanctions implementation (see explanatory notes)		

I.B - OTHER IDENTIFYING INFORMATION THAT MAYALSO APPEAR ON THE CONSOLIDATED LIST

Member States are requested to provide the following information in order to facilitate the identification of the entity concerned

Type of entity		Registered company/ business <input type="checkbox"/> Unregistered company/ business <input type="checkbox"/> Registered non-profit organization <input type="checkbox"/> Unregistered non-profit organization <input type="checkbox"/> Registered group or affiliation <input type="checkbox"/> Unregistered group or affiliation <input type="checkbox"/> Illegal paramilitary/ armed group <input type="checkbox"/> Criminal group <input type="checkbox"/> Other <input type="checkbox"/> Which:
Nature of business or activity		Criminal activity <input type="checkbox"/> Charity /humanitarian assistance <input type="checkbox"/> Banking <input type="checkbox"/> Trust/financing <input type="checkbox"/> Informal /alternative remittance system <input type="checkbox"/> Money wire/exchange <input type="checkbox"/> Political activity <input type="checkbox"/> Internet / telecommunications business <input type="checkbox"/> Paramilitary activity <input type="checkbox"/> Legal estate <input type="checkbox"/> Media activity-TV/radio/press/Internet <input type="checkbox"/> Training/recruitment <input type="checkbox"/> Other <input type="checkbox"/> Which:
Location	Current	
	Previous (add dates)	
Branches/ subsidiaries (use annex B to report details)		
Parent company (use annex B to report details)		
Leadership and management (use annex C to report details)		
Organizational linkages		
Known assets/ location of assets/ patterns of provision		
Known bank accounts / BIC/ SWIFT / BAN codes(if possible)		

Status	In liquidation/suspended/ terminated/ operating license withdrawn	Yes	NoNot Known	If yes, please explain:
	Operating under care taker or equivalent	Yes	NoNot Known	If yes, please explain:
	Banned/illegal/clandestine	Yes	NoNot Known	If yes, please explain:
	Other	Please explain:			
Relevant INTERPOL Notices		Yes	NoNot Known	If yes please explain:

I.C-OTHER IDENTIFYING INFORMATION NOT SPECIFIED ABOVE

II. BASIS FOR LISTING

Member States are requested to indicate in one or more of the fields below the nature of the association between the entity in scribed in section I.A of this form and Al-Qaida, Usamabin Laden and/or the Taliban asset out in paragraphs 2 and 3 of resolution 1617 (2005) and subsequent resolutions. Full explanations and details of the nature of this association should be given in Part III of this form (Statement of Case). Please include the permanent reference number(s) of those names which the entity is associated with that already appear on the Consolidated List. In the event of the designation of this entity by the Committee, the information provided will be used for the development of the narrative summary of reasons for listing to be published on the Committee's website in accordance with paragraph 14 of Security Council resolution 1904 (2009).

☐ (a) participating in the financing¹, planning, facilitating, preparing, or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of Al-Qaida (AQ), Usamabin Laden (UBL), or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

- Name(s) and permanent reference number(s) on Consolidated List (if applicable):

☐ (b) supplying, selling or transferring arms and related materiel to AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

- Name(s) and permanent reference number(s) on Consolidated List (if applicable):

☐ (c) recruiting for AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

- Name(s) and permanent reference number(s) on Consolidated List (if applicable):

☐ (d) otherwise supporting acts or activities of AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

- Name and permanent reference number(s) on Consolidated List (if applicable):

☐ (e) other act and activity indicating association with AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

- Name and permanent reference number(s) on Consolidated List (if applicable):
- Briefly state the nature of the association:

☐ (f) entity owned or controlled, directly or indirectly, by, or otherwise supporting, an individual or entity on the Consolidated List.²

- Name(s) and permanent reference number(s) on Consolidated List (if applicable):

¹ The Security Council has noted that such means of financing or support include but are not limited to the use of proceeds derived from illicit cultivation, production and trafficking of narcotic drugs or opium particularly in Afghanistan, and their precursors (resolution 1904 (2009), para. 9).

III. STATEMENT OF CASE

The Statement of Case should provide as much detail as possible on the basis(es) for listing, including: (i) specific information supporting a determination that the entity meets the criteria above; (ii) the nature of the information, for example, intelligence, law enforcement, judicial, or media; and (iii) additional information or documents provided with the submission. States should include details of any connection between the entity proposed for listing and any currently listed individual or entity.³

In accordance with paragraph 11 of resolution 1904 (2009), the whole statement of case shall be releasable, upon request, except for the parts a Member State identifies as being confidential to the Committee, and may be used to develop the narrative summary of reasons for listing described in paragraph 14 of resolution 1904 (2009).

III.A STATEMENT OF CASE (RELEASABLE UPON REQUEST)

III.B PARTS OF STATEMENT OF CASE IDENTIFIED AS BEING CONFIDENTIAL TO THE COMMITTEE

IV. IDENTITY OF DESIGNATING STATE

In accordance with paragraph 12 of resolution 1904 (2009) Member States proposing a new designation are encouraged to specify whether the Committee may make known, upon request from a Member State, the Member State's status as a designating State.

Yes

No

V. INTERPOL COOPERATION

The Security Council stressed in its resolution 1699 (2006) that its sanctions measures are often implemented under national law, including criminal law where applicable, and that enhanced cooperation between the United Nations and INTERPOL would enhance States' enforcement of those laws. In the same resolution, the Security Council encouraged Member States to use the tools offered by INTERPOL to reinforce the implementation of mandatory measures adopted by the Security Council, particularly the freezing of assets, travel bans, and arms embargoes. In this connection, the Committee regularly requests INTERPOL to issue INTERPOL United Nations Security Council Special Notices to alert national law enforcement authorities in INTERPOL member countries that designated individuals and entities are subject to Security Council sanctions.

INTERPOL may for implementation purposes wish to contact the relevant authorities in your country, with a view to obtaining additional information on the individual(s)/entity(ies) proposed for designation herewith. For this purpose, please indicate below if the Committee may inform INTERPOL, upon INTERPOL's request, that your country is a designating State of the above-mentioned entity(ies) (INTERPOL would then contact your country's permanent mission to the United Nations in New York with the relevant inquiries).

Yes

No

Comments:

In addition, please indicate below if the Committee may convey to INTERPOL, upon Interpol's request, the details of the point of contact below within your Government (INTERPOL may then contact directly the contact point below with the relevant inquiries).

☐ Yes

☐ No

Comments:

VI. POINT OF CONTACT

The individual(s) below may serve as a point-of-contact for further question on this submission:

(THIS INFORMATION SHALL REMAIN CONFIDENTIAL)

Name:

Position/Title:

Contact details:

Office:

Address:

Telephone number: Fax

number:

E-mail address:

ANNEX A – Additional aliases and pseudonyms

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Information provided on this annex may also appear on the Consolidated List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III A and III. B. Furthermore, information in this annex is considered as additional identifiers only and it should not refer to other separate entities (see annex for related entities).

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)	
	Short Name/Acronym(s)	
	Original script Indicate script	Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Type	Previous legal name <input type="checkbox"/> Previous registered name <input type="checkbox"/> Also-known-as <input type="checkbox"/> Formerly-known-as <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Additional information (dates)	

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)	
	Short Name/Acronym(s)	
	Original script Indicate script	Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Type	Previous legal name <input type="checkbox"/> Previous registered name <input type="checkbox"/> Also-known-as <input type="checkbox"/> Formerly-known-as <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Additional information (dates)	

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)	
	Short Name/Acronym(s)	
	Original script Indicate script	Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Type	Previous legal name <input type="checkbox"/> Previous registered name <input type="checkbox"/> Also-known-as <input type="checkbox"/> Formerly-known-as <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Additional information (dates)	

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)	
	Short Name/Acronym(s)	
	Original script Indicate script	Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Type	Previous legal name <input type="checkbox"/> Previous registered name <input type="checkbox"/> Also-known-as <input type="checkbox"/> Formerly-known-as <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Additional information (dates)	

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)	
	Short Name/Acronym(s)	
	Original script Indicate script	Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Type	Previous legal name <input type="checkbox"/> Previous registered name <input type="checkbox"/> Also-known-as <input type="checkbox"/> Formerly-known-as <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Additional information (dates)	

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)	
	Short Name/Acronym(s)	
	Original script Indicate script	Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Type	Previous legal name <input type="checkbox"/> Previous registered name <input type="checkbox"/> Also-known-as <input type="checkbox"/> Formerly-known-as <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Additional information (dates)	

ANNEXB – Information about related entities

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Information provided on this annex may also appear on the Consolidated List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III.A and III.B. Entities referred to in annex B that are not yet included on the Consolidated List will not be considered subject to sanctions unless otherwise stated in the letter of submission, in which case a separate standard form should be filled in for each entity to be subject to sanctions.

RELATED ENTITY (describe type of relation)	Shared ownership <input type="checkbox"/> Subsidiary <input type="checkbox"/> Parent company <input type="checkbox"/> Successor company/ organization <input type="checkbox"/> Predecessor company/ organization <input type="checkbox"/> Other <input type="checkbox"/> Which: _____
Permanent reference number (if listed)	
Full Name	
Spelling variations or other transliterations if used in Official documents	
Original script (as to appear on the List) <input type="checkbox"/> Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashto <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Which: _____	
Other script(s) (if used officially) <input type="checkbox"/> Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashto <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which: _____	
Aliases /also-known-as / formerly-known-as	
Short Name/Acronym(s)	
Registration and other identification numbers Number _____ Type _____ Issuing authority _____ Other numbers (indicate details as above) _____	Business registration number _____ Tax identification number _____ Employer _____ Social _____ Other _____
Registered Address Current _____ Previous, if any _____	
Date of establishment (DD/MM/YYYY) Day _____ Month _____ Year _____ Additional information _____	
Place of establishment	
Nature of Business or Activity	Criminal activity <input type="checkbox"/> Charity /humanitarian assistance <input type="checkbox"/> Banking <input type="checkbox"/> Trust/financing <input type="checkbox"/> Informal /alternative remittance system <input type="checkbox"/> Political activity <input type="checkbox"/> Internet / telecommunications business <input type="checkbox"/> Legal estate <input type="checkbox"/> Media activity-TV/radio/press/Internet <input type="checkbox"/> Paramilitary activity <input type="checkbox"/> Training/ recruitment <input type="checkbox"/> Money wire / exchange <input type="checkbox"/>
Type of Entity	Registered company/ business Unregistered company/business Registered non-profit organization Unregistered non-profit organization Registered group or affiliation Unregistered group or affiliation Illegal paramilitary/ armed group <input type="checkbox"/> Criminal group <input type="checkbox"/> Which: _____
State(s) of main activity	
Address(es) in state(s) of main activity	
Other supplementary information	

ANNEX C – Information about leadership and management

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Information provided on this annex may also appear on the Consolidated List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III. A and III.B. Individuals referred to in annex C that are not yet included on the Consolidated List will not be considered subject to sanctions unless otherwise stated in the letter of submission, in which case a separate standard form (for individuals) should be filled in for each individual to be subject to sanctions.

Manager, member of the leadership or other affiliate of the entity

Full name (in Latin alphabet, as to appear on the List)													
Name components (Provide 1–8 components. On the column to the left, please describe each part of the name as first, middle or family name, et cetera.)		Describe name component											
		First name	Middle name	Last name	Family name	Geographical ref.	Religious title	Name of:		Other, please describe in writing			
								Father	Grandfather		Great-grandfather	Mother	Tribe
1.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
5.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
6.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
8.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Spelling variations or other transliterations if used in official documents													
Original script (as to appear on the List)		Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:											
Other script(s) (if used officially)		Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:											
Date of birth		(DD/MM/YYYY) Additional information				Day: Month: Year:							
Place of birth (city/area/country)													
Alternative dates or places of birth (please explain)													
Male /Female													
Nationality or citizenship(s)		Current Previous (add dates)											
State(s) of residence		Current Previous (add dates)											
Position or role in the entity		Supreme leader <input type="checkbox"/> Top management <input type="checkbox"/> Mid-management <input type="checkbox"/> Lower management <input type="checkbox"/> Sub-leader <input type="checkbox"/> Operative <input type="checkbox"/> Chairman <input type="checkbox"/> Deputy chairman /leader <input type="checkbox"/> Sole owner <input type="checkbox"/> Partner <input type="checkbox"/> Majority owner <input type="checkbox"/> Part-owner <input type="checkbox"/> Employee <input type="checkbox"/> Adviser <input type="checkbox"/> Trainer <input type="checkbox"/> Financier <input type="checkbox"/> Beneficiary <input type="checkbox"/> Facilitator <input type="checkbox"/> Recruiter <input type="checkbox"/> Accountant <input type="checkbox"/> Technical specialist <input type="checkbox"/> Other <input type="checkbox"/> Which:											
Any additional information (background on alias)													



REPUBLIC OF ZAMBIA

The Anti-Terrorism Act, 2007
(Act No. 21 of 2007)

**The Anti-Terrorism (Implementation of the United Nations
Security Council Resolutions on the Prevention and
Suppression of Terrorism) Regulations, 2015**

Standard form for the submission of requests to remove a name from the
Al-Qaida Sanctions List maintained by the Security Council Committee pursuant
to resolutions 1267 (1999) and 1989 (2011) concerning Al-Qaida and
associated individuals and entities

The use of this form is optional. If used, please complete as many fields as possible.

D If you are a representative of a Member State:

Please send the completed form to the Secretariat of the Committee:

-by mail: 1267/1989 Committee Secretariat
Security Council Subsidiary Organs Branch (Room TB-08040C) United
Nations-New York, N.Y. 10017-USA
-by fax: +1212 963 1300/3778
-by email: SC-1267-Committee@un.org

D If you are a petitioner (individual, group, undertaking or entity on the
Al-Qaida Sanctions List, or a representative thereof):

Please refer to the Office of the Ombuds person for guidance
website: <http://www.un.org/en/sc/ombudsperson/>

The use of this form is not required by the Ombudsperson but may be forwarded to her as
follows,

-by mail: Office of the Ombudsperson ☐ ☐
Room TB-08041D
United Nations-New York, NY 10017, United States of America
-by fax: +1212 963 1300/3778
- by email: ombudsperson@un.org

The use of this form by no means prejudices the decision of the Committee.

I. FOR AN INDIVIDUAL			
Permanent Reference Number (PRN)			
Full name (in Latin alphabet, as it appears on the List)			
Date of birth	(DD/MM/YYYY)	Day:	Month: Year:
Place of birth (city/area/ country)			
Male/Female			
Nationality or citizenship(s)	Current		
	Previous (add dates)		
State(s) of residence	Current		
	Previous (add dates)		
Address	Primary address (city/area/ country)		
	Other addresses (current/ previous)		
Location (if different from address)	Current		
	Other		
Associated Entity (entities) on the Al-Qaida Sanctions List (cf. paragraph 5 of Security Council resolution 1989(2011))			
Please indicate if the individual is deceased Paragraph 31 of Security Council resolution 1989(2011) encourages States to submit delisting requests for individuals that are officially confirmed to be dead		<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please provide a death certificate or similar official documentation confirming the death, which should include, to the extent possible, the full name, permanent reference number and date of birth of the individual, and the date and place of death, as well as any further information about the circumstances of the death. See also part II of this form.	
Other information			

II. FOR A GROUP, UNDERTAKING OR ENTITY			
Permanent Reference Number (PRN)			
Full Name (in Latin alphabet, as it appears on the List)			
Short name/ acronym(s)			
Registration and other identification numbers			
Registered Address	Current		
	Previous, if any		
Type of entity			
Location	Current		
	Previous, if any		
Known assets/ location of assets/ origin of assets			
Please indicate if the entity is reported or confirmed to have ceased to exist Paragraph 31 of Security Council resolution 1989(2011) encourages States to submit delisting requests for entities reported or confirmed to have ceased to exist		<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please provide official confirmation. See also part II of this form.	
Other information			

<p>III. IDENTIFIED FROZEN ASSETS OF DECEASED INDIVIDUALS OR ENTITIES THAT HAVE CEASED TO EXIST (SECTION FOR REPRESENTATIVES OF MEMBER STATES)</p> <p>Paragraph 31 of Security Council resolution 1989 (2011) encourages States to take all reasonable measures to ensure that the assets that belonged to these individuals or entities have not been or will not be transferred or distributed to other individuals, groups, undertakings and entities on the Al-Qaida Sanctions List</p>
<p>For deceased individuals, is any legal beneficiary of the deceased's estate, or any joint owner of his/her assets, on the Al-Qaida Sanctions List?</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/> (If yes, please provide details)</p> <p>For defunct entities, is any legal beneficiary of the defunct entity, or any joint owner of its assets, on the Al-Qaida Sanctions List? Yes <input type="checkbox"/> No <input type="checkbox"/> (If yes, please provide details)</p>

<p>IV. PREVIOUS DELISTING REQUESTS (IF KNOWN)</p> <p>Has a de-listing request pertaining to this individual or entity been submitted before?</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, please elaborate:</p>

<p>V. JUSTIFICATION</p> <p>The de-listing request should explain why the individual or entity concerned no longer meets the criteria described in paragraphs 4 and 5 of resolution 1989 (2011). Attach documentation where appropriate.</p>

<p>VI. SUBMISSION OF DELISTING REQUEST</p> <p>A request for the de-listing of _____, currently inscribed on the Al-Qaida Sanctions List of the 1267/1989 Sanctions Committee under permanent reference number _____, is hereby submitted.</p> <p>_____ (date and signature/official seal)</p> <p>_____ (capacity in which submitting form)</p>
--

SECOND SCHEDULE

(Regulation 36)

ADDRESS FOR DE-LISTING REQUESTS

Office of the Ombudsperson
Room TB-08041D
United Nations
New York, NY 10017
United States of America
Tel: +1 212 963 2671
Fax: +1 212 963 1300/3778
E-mail: ombudsperson@un.org

LUSAKA

29th December, 2015

[MHA/101/25/1]

D. MWILA,
Minister of Home Affairs